

## MEMORANDUM

**To:** File No. S7-01-23  
**From:** Ammon Simon, Counsel to Commissioner Hester M. Peirce  
**Re:** Meeting with SIFMA members

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On August 3, 2023, Ammon Simon and Sumeera Younis, Counsels to Commissioner Peirce, and Commissioner Hester Peirce, met with the following representatives from the Securities Industry and Financial Markets Association (SIFMA):

- Chris Killian, Managing Director, Securitization and Credit
- Stuart Litwin, Partner and Head of Structured Finance Practice, Mayer Brown
- Michelle Stasny, Counsel, Mayer Brown
- Samuel Peckham, Executive Director, JP Moran Securities
- Christopher Haas, Managing Director - Legal, Bank of America
- Patrick Chen, Vice President - Legal, Morgan Stanley
- Bryan Tsu, Managing Director, PIMCO
- Jennifer Johnson, Vice President and Associate General Counsel, Nuveen
- Lucas West, VP, SIFMA
- Rob Toomey, Managing Director, SIFMA

The topic discussed was SIFMA's Comment Letters on the Commission-proposed rule, "Prohibition Against Conflicts of Interest in Certain Securitizations."