

To: File No. S7-01-23
From: Kayla Roberts
Date: June 7, 2023
Re: Meeting with Representatives of the European Commission (“EC”)

On May 25, 2023, SEC staff met with representatives from the European Commission to discuss the SEC’s Prohibition Against Conflicts of Interest in Certain Securitizations proposal.

Members of the SEC included:

- Ted Yu, Associate Director, CF
- Rolaine Bancroft, Office Chief, OSF
- Brandon Figg, Special Counsel, OSF
- Kayla Roberts, Special Counsel, OSF
- Michael Ferrario, Senior Special Counsel, OIA
- Michael Coco, Office Chief, OICF
- Tom Kluck, Special Counsel, OICF
- Carl Emigholz, Special Counsel, TM

Members of the EC included:

- Anne Schaedle
- Piotr Plizga
- Francesco Tuzi
- Presiyan, Petkov
- Laura Izquierdo Rios
- Antoine Begasse, Finance Counselor, Delegation of the European Union to the United States