

MEMORANDUM

TO: File nos. S7-13-18 and S7-01-21

FROM: Sirimal R. Mukerjee
Policy Counsel, Office of the Chair

RE: Meeting with the Capital Group (the “Capital Group”)

DATE: November 8, 2021

On November 8, 2021, Barbara Roper, Senior Advisor, and Sirimal R. Mukerjee, Policy Counsel, Office of the Chair, met with the following representatives of the Capital Group:

- Paul Roye, Senior Vice President and Senior Counsel;
- Donald Rolfe, Chief Compliance Officer;
- Walt Burkley, Senior Vice President and Senior Counsel;
- Clarke Camper, Executive Vice President, Government Affairs;
- Michael Triessl, Senior Vice President and Senior Counsel;
- Jason Bortz, Senior Vice President and Senior Counsel;
- Tim McHale, Senior Vice President and Senior Counsel;
- Reagan Anderson, Senior Vice President, Government Affairs;
- Kristine Nishiyama, Senior Vice President and Senior Counsel; and
- Naseem Nixon, Vice President and Associate Counsel.

Among other things, the participants discussed the requests for comment on the processing fees charged by intermediaries for distributing materials other than proxy materials to fund investors and on potential money market fund reform measures.