

## MEMORANDUM

TO: File No. S7-01-13

FROM: Liban Jama  
Office of Chair Mary Jo White

DATE: November 20, 2013

RE: Meeting with Representatives of OTC Markets Group

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On November 19, 2013, Liban Jama, Senior Advisor to Chair Mary Jo White, Lona Nallengara, Chief of Staff to Chair Mary Jo White, and David Shillman, Associate Director, Division of Trading and Markets, met with the following representatives of OTC Markets Group, Cromwell Coulson (President, Chief Executive Officer and Director), and Daniel Zinn, (General Counsel). The discussion included, among other things, the Commission's proposed rules regarding Regulation Systems Compliance and Integrity.