

MEMORANDUM

TO: File No. S7-01-13

FROM: Dhawal Sharma

RE: Proposed Regulation Systems Compliance and Integrity

DATE: October 10, 2013

On October 8, 2013, staff from the Commission's Division of Trading and Markets (Jim Burns, Gregg Berman, David Shillman, John Polise, Mark Donohue, Amy Edwards, Hans Heidle, Dick D'Anna, Harrison Lou, Keith Riley, Gordon Fuller, David Liu, Heidi Pilpel, Sara Hawkins, Jonathan Balcom, and Dhawal Sharma) participated in a meeting with representatives from Investment Technology Group, Inc. (Sudhanshu Arya, Patrick Chi, and Mark Solomon). The participants discussed proposed Regulation Systems Compliance and Integrity.