

**MEMORANDUM**

**To:** File No. S7-01-13

**From:** Jaime Klima, Counsel to Commissioner Michael S. Piwowar

**Re:** Meeting with Representatives of ITG Inc.

---

On October 8, 2013, Jaime Klima, Counsel to Michael S. Piwowar, and Joe Levinson, Counsel to Commissioner Daniel M. Gallagher, met with the following representatives of ITG:

- Sadhashu Arya, Head of Liquidity Management Technology
- Patrick Chi, Chief Compliance Officer
- Mark Solomon, Deputy General Counsel

Among the topics discussed was proposed Regulation Systems Compliance and Integrity.