

## MEMORANDUM

**To:** Public Comment File on Comments on Measures to Facilitate Cross-Border Implementation of the European Union's MiFID II's Research Provisions

**From:** Trace W. Rakestraw  
Counsel to Commissioner Kara M. Stein  
U.S. Securities and Exchange Commission

**Re:** Meeting with Representatives of European Securities and Markets Authority ("ESMA")

On October 13, 2017, Commissioner Stein, Sirimal Mukerjee (Counsel to Commissioner Stein), Jill Henderson (Counsel to Commissioner Stein), Robert Peak (Senior Adviser to Commissioner Stein) and Trace Rakestraw (Counsel to Commissioner Stein) met with the following representatives:

- Jakub Michalik, Officer – Institutional Cooperation and International Affairs, ESMA
- Steven Majoor, Chair – ESMA

Topics discussed included the cross-border implementation of the European Union's MiFID II's research provisions.