

MEMORANDUM

To: Public Comment File on Comments on Measures to Facilitate Cross-Border Implementation of the European Union's MiFID II's Research Provisions

From: Trace W. Rakestraw
Counsel to Commissioner Kara M. Stein
U.S. Securities and Exchange Commission

Re: Meeting with Lynn Turner

On October 23, 2017, Commissioner Stein, Sirimal Mukerjee (Counsel to Commissioner Stein), Robert Peak (Senior Adviser to Commissioner Stein) and Trace Rakestraw (Counsel to Commissioner Stein) met with Lynn Turner, former SEC Chief Accountant. Topics discussed included the cross-border implementation of the European Union's MiFID II's research provisions.