

**MEMORANDUM**

**FROM:** Timothy White  
Special Counsel  
Office of the Chief Counsel, Division of Trading and Markets  
U.S. Securities and Exchange Commission

**RE:** Meeting with representatives of the FINRA

**DATE:** October 16, 2012

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On October 16, 2012, Commission staff met with representatives from the Financial Industry Regulatory Authority (FINRA) to discuss issues regarding the implementation of Title III of the Jumpstart Our Business Startups Act.

The following Commission staff participated: David Blass, Joseph Furey, Joanne Rutkowski, Leila Bham, Timothy White, Daniel Gien, Troy Stoddard and Shaheen Haji from the Division of Trading and Markets.

The following FINRA representatives participated: Robert Colby, Thomas Selman, Joseph Savage, Angela Goelzer, Phil Shaikun and Adam Arkel.