

## MEMORANDUM

**FROM:** Daniel Gien  
Special Counsel  
Office of the Chief Counsel, Division of Trading and Markets  
U.S. Securities and Exchange Commission

**RE:** Meeting with FINRA

**DATE:** September 7, 2012

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On September 7, 2012, Commission staff participated in a meeting with representatives from FINRA to discuss issues regarding the implementation of Title III of the Jumpstart Our Business Startups Act.

The following Commission staff participated: David W. Blass, Joseph Furey, Joanne Rutkowski, Lourdes Gonzalez, Timothy White, Leila Bham, Daniel Gien, Troy Stoddard, and Catherine Yin from the Division of Trading and Markets; and Sebastian Gomez Abero from the Division of Corporation Finance.

The following representatives from FINRA participated: Robert L.D. Colby, Thomas M. Selman, Gary L. Goldsholle, and Patrice M. Gliniecki.