

MEMORANDUM

TO: File
FROM: Richard Grant
RE: Meeting with Representatives of Barclays
DATE: January 18, 2013

On January 18, 2013, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives from Barclays. The SEC representatives present at the meeting were John Ramsay, Jim Burns, Mike Macchiaroli, Tom McGowan, Sara Cortes, Carol McGee, Richard Gabbert, and Richard Grant. The Barclays representatives present at the meeting were Michael Crowl, Patrick Durkin, Thomas McGuire, Allison Parent, Jeffrey Samuel, and Merritt Thomas.

At the meeting, the Barclays representatives provided their views and observations on the application of Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act to Barclays’ global security-based swaps business, including issues related to the registration and regulation of foreign security-based swap dealers.

[No agenda available for this meeting.]