

## MEMORANDUM

TO: File  
FROM: James P. Sinnott  
RE: Business conduct standards consultation SIFMA  
DATE: July 13, 2011

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On June 22, 2011, Lourdes Gonzalez of the SEC and Phyllis Cela, Katherine Driscoll, Vivek Jain, Ted Kneller, and Peter Sanchez of the CFTC met with Ed Rosen, Brick Susko, and Colin Llyod (Cleary Gottlieb), David Taub (McDermott Will & Emery), John Vitha and Alan Wilmit (Goldman Sachs), Anthony Cicia (Morgan Stanley), Kyle Brandon, Michael Decker, Craig Griffith, and Lisa Bleier (SIFMA).

The participants further discussed the views expressed in their letter dated February 17, 2011. They also discussed potential areas of concern regarding the rulemaking required under the Dodd-Frank Act.

[No agenda available for this meeting.]