

MEMORANDUM

TO: File
FROM: Leila Bham
Division of Trading and Markets
RE: Meeting Regarding External Business Conduct Rules
DATE: June 21, 2011

On June 21, 2011, Leila Bham of the Securities and Exchange Commission (“SEC”) and Phyllis Cela, Katie Driscoll, Vivek Jain, Tim Karpoff, Ted Kneller and Peter Sanchez of the Commodity Futures Trading Commission (“CFTC”) met with the following representatives of public pension plans: Rick Dahl of the Missouri State Employees Retirement System; Jennifer Paquette of the Public Employees’ Retirement Association of Colorado; Todd Ludgate of the State of Wisconsin Investment Board; Cynthia Wilkinson Comer of the Virginia Retirement Systems; Anne Simpson of the California Public Employees' Retirement System; Sylvia Bell of the Teachers Retirement System of Texas; Jim Newsome and Scott Parsons of Delta Strategy Group; and Don Marlais of Lussier, Gregor, Vienna & Associates.

The participants discussed the business conduct provisions of the Dodd-Frank Act, in particular in relation to special entities.

[No agenda available for this meeting.]