

MEMORANDUM

TO: File for Public Comments on SEC Regulatory Initiatives Under the
Dodd-Frank Act

FROM: Michael E. Coe
Office of Commissioner Luis A. Aguilar

DATE: December 8, 2010

SUBJECT: Meeting with Representatives of Wholesale Market Brokers' Association,
Americas (WMBAA)

On December 8, 2010, Commissioner Aguilar, along with Michael E. Coe, Counsel to the Commissioner, participated in a telephone conference with the following representatives of WMBAA:

Julian Harding, Executive Director, Tradition (Chairman of the WMBAA)
Stephen Merkel, Executive Vice President & General Counsel, BGC Partners, Inc.
Andrew Wels, Assistant General Counsel, BGC Partners, Inc. (Chairman of the
WMBAA's Legal and Compliance Committee)
Christopher Ferreri, Managing Director, ICAP
Brian Donnelly, Vice President and Assistant General Counsel, Tullett Prebon
J. Christopher Giancarlo, Executive Vice President, GFI Group Inc.
William Shields, Compliance Officer, GFI North America

Micah Green, Patton Boggs LLP
Matt Dunn, Patton Boggs LLP
Matthew Kulkin, Patton Boggs LLP

The discussion included, among other things, the provisions of the Dodd-Frank Act regarding Mandatory Exchange Trading and Swap Execution Facilities.