

## **MEMORANDUM**

TO: File  
FROM: Michael Milone  
RE: Phone Conference with TriOptima  
DATE: October 14, 2010

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On October 14, 2010, representatives from the Securities and Exchange Commission (“SEC”) held a phone conference with representatives from TriOptima. The SEC representatives were Jeffrey Mooney, Haime Workie, Peter Curley, Allison Duncan, and Michael Milone. The TriOptima representatives were Susan Hinko, Raf Pritchard, and Sophia Rosenkuist. TriOptima described what services it offers for Security-Based Swaps.

[No agenda available for this meeting.]