

## MEMORANDUM

TO: File  
FROM: Michael Milone  
RE: Phone Conference with SIFMA (Asset Managers)  
DATE: September 30, 2010

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On September 30, 2010, representatives from the Securities and Exchange Commission (“SEC”) held a phone conference with representatives from SIFMA, specifically asset manager members. The SEC representatives were Jeffrey Mooney, Jerry Carpenter, Peter Curley, Allison Duncan, and Michael Milone. The SIFMA representatives were Cory Strupp, James Wallin-AllianceBernstein, Cynthia Meyn – PIMCO, Ila Eckhoff – BlackRock, Supurna Vebrat – BlackRock, Gabriel Rosenberg – Davis Polk, Dan Budofsky – Davis Polk, Tim Noonan – BlackRock, Kathryn Beard – BlackRock, Arthur Leiz – Goldman, Wendy Yun – Goldman, Michaela Ludbrook – Goldman, Tim Cameron – SIFMA, Christine Ayotte-Brennan-Fidelity, and Kyle Brandon – SIFMA. The SIFMA members described how Security-Based Swaps are processed and which vendors perform processing services.

[No agenda available for this meeting.]