

## Memorandum

To: File on Title VII of the Dodd-Frank Wall Street Reform and Customer Protection Act

From: Pamela Kesner  
Division of Trading and Markets

Date: February 1, 2012

Re: Meeting with a Representative of the Securities Industry and Financial Markets Association

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On February 1, 2012, representatives from the Securities and Exchange Commission (“SEC”) met with representatives of the Securities Industry and Financial Markets Association (“SIFMA”). SEC representatives included Peter Curley, Jeffrey Mooney, Gregg Berman, Marta Chaffee, Matthew Landon, Catherine Moore, and Pamela Kesner (all from the Division of Trading and Markets). Representatives of SIFMA included Michael Aluko, Societe Generale; Kyle Brandon, SIFMA; Anthony Cicia, Morgan Stanley; Alessandro Cocco, J.P. Morgan; Silas Findley, Citigroup Global Markets, Inc.; Robert Lee, Deutsche Bank; Sarah Lee, Bank of America Merrill Lynch; Annette Nazareth, Davis, Polk & Wardwell; and Marcel Riffaud, Barclays Capital. During the meeting the staff and SIFMA representatives discussed interaffiliate clearing.