

MEMORANDUM

TO: Public Comment File on SEC Regulatory Initiatives Under the
Dodd-Frank Act

FROM: Michael E. Coe
Office of Commissioner Luis A. Aguilar

DATE: September 9, 2010

SUBJECT: Meeting with Representatives of Alternative Investment Management
Association

On September 8, 2010, Commissioner Aguilar, along with Smeeta Ramarathnam, Zachary May, and Michael E. Coe, Counsel to the Commissioner, met with AIMA representatives W. Todd Groome, Chairman; Andrew Baker, Chief Executive Officer; Jiří Król, Director of Policy and Government Affairs; and Stewart Hall, U.S. Policy Advisor. The discussion included, among other things, the items described in the attached agenda, which was provided by AIMA.



Alternative Investment Management Association

Meetings to be held with
Securities & Exchange Commission (SEC)
and
Alternative Management Investment Association Limited (AIMA)

September 8, 9 and 10, 2010

SEC Commissioners and Staff

Elisse Walter, Commissioner
Luis Aguilar, Commissioner
Tony Paredes, Commissioner
Kathleen Casey, Commissioner
Buddy Donohue, Director of the Division of Investment Management
David Vaughan, Division of Investment Management
Carlo Di Florio, Director of the Office of Compliance Inspections and Examinations (OCIE)

AIMA Staff

Todd Groome, Chairman
Andrew Baker, Chief Executive Officer
Jiri Krol, Director of Policy & Government Affairs

Agenda

- A. Multiple registration
- B. Periodic reporting and consistency
- C. Incentive-based compensation / remuneration
- D. OTC derivatives
- E. Volcker rule

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