MEMORANDUM

TO: File Nos. 4-931, 4-932, 4-933, 4-934, and 4-935

FROM: Office of the Investor Advocate

RE: Meeting with Representatives of the Financial Services Institute (FSI)

On October 21, 2026, staff from the Office of the Investor Advocate met virtually with representatives of FSI. Among other matters, the parties discussed the Commission Notices on the Potential Designations of (1) Passage of an Accredited Investor Exam (Release No. 33-11445; File No. 4-931); (2) U.S. Certified Public Accountant License (Release No. 33-11446; File No. 4-932); (3) Chartered Financial Analyst Designation (Release No. 33-11447; File No. 4-933); (4) Certified Financial Planner Certification (Release No. 33-11448; File No. 4-934); and (5) Series 79, Series 86, and Series 87 Licenses (Release No. 33-11449; File No. 4-935).