

MEMORANDUM

To: File No. 4-789; S7-04-23
From: Ammon Simon, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Coinbase

On Thursday, July 20, 2023, the following individuals met with representatives from Coinbase:

- Ammon Simon, Counsel to Commissioner Hester Peirce
- Richard Gabbert, Counsel to Commissioner Hester Peirce
- Sumeera Younis, Counsel to Commissioner Hester Peirce
- Robert Fisher, Counsel to Commissioner Mark Uyeda
- Jaime Marinaro, Counsel to Commissioner Mark Uyeda
- Taylor Asher, Confidential Assistant and Policy Advisor to Commissioner Mark Uyeda
- Jakell Larson, Legal Intern to Commissioner Mark Uyeda

Representatives from Coinbase were the following:

- Greg Tusar, Head of Product
- Thaya Knight, Sr. Manager of Public Policy
- Robin Cook, Legislative Counsel o

The following two topics were discussed:

- Coinbase's Rulemaking petition requesting that the Commission propose and adopt rules to govern the regulation of securities that are offered and traded via digitally native methods, including potential rules to identify which digital assets are securities.
- The Commission-proposed rule, "Custody: Safeguarding Advisory Client Assets."