

MEMORANDUM

TO: File Nos. 4-778, SR-FINRA-2022-032, S7-29-22, S7-30-22, and S7-32-22
FROM: Nathan R. Schuur, Counsel to Commissioner Caroline Crenshaw
RE: Meeting with Representatives of the Healthy Markets Association
DATE: November 28, 2023

On November 28, 2023, Commissioner Crenshaw and her counsels Susan Poklemba and Nathan Schuur met with representatives of the Healthy Markets Association. Discussion included the rulemaking petition, SRO rule change, and proposed rules associated with the above-listed file numbers. Parties registered to participate included:

- James Andrus, Franklin Templeton;
- Travis Antoniono, CalPERS;
- Greg Babyak, Bloomberg LP;
- Julia Bronson, Paxos Trust Company;
- Mike Canning, LXR Group;
- Tyler Gellasch, Healthy Markets Association;
- Adam Goldberg, Quantitative Investment Management;
- Bonnie Johnston, LXR Group;
- Albert Kim, Quantitative Investment Management;
- Michael Ledwith, Quantitative Investment Management;
- Brian Leu, CalPERS;
- Brian McQuade, CalPERS;
- Chris Nagy, Healthy Markets Association;
- Aaron Plue, PSP Investments;
- John Ramsay, IEX;
- Cole Smith, Arizona State Retirement System; and
- Gary Stone, Bloomberg LP.