

**MEMORANDUM**

TO: File No. 4-757 (Rel. 34-90096)

FROM: Eugene Hsia  
Office of Market Supervision, Division of Trading and Markets

DATE: November 9, 2020

SUBJECT: Meeting with Representatives from the Financial Industry Regulatory Authority  
("FINRA")

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On November 9, 2020, Brett Redfearn, Gerard Citera, Michael Coe, Deborah Flynn, Richard Holley, Eugene Hsia, Arisa Kettig, Andrea Orr, John Roeser, Christian Sabella, and Peggy Sullivan, all from the Division of Trading and Markets, met by telephone with Robert Colby, Stephanie Dumont, Lisa Horrigan, Brendan Loonam, Robert McNamee, and Chris Stone from FINRA.

The meeting participants discussed generally the Commission's Notice of Filing of a National Market System Plan Regarding Consolidated Equity Market Data.