

MEMORANDUM

To: File No. 4-757

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Telephone Call with Representatives of SIFMA

On May 5, 2020, Commissioner Hester Peirce, and her legal advisor, Richard Gabbert participated in a telephone call with the following representatives of SIFMA:

- Gregg Berman, Citadel;
- Diwa Cody, Goldman Sachs;
- Ian Weston, JP Morgan;
- Sapna Patel, Morgan Stanley;
- Rich Steiner, RBC;
- Chris Nielsen, Charles Schwab;
- Amy Iseppi, State Street;
- Vlad Khandros, UBS;
- T.R. Lazo, BIDS Trading;
- Ken Bentsen, SIFMA;
- Jamie Wall, SIFMA;
- Jennifer Bang, SIFMA;
- Joe Corcoran, SIFMA; and
- Ellen Greene, SIFMA.

Participants discussed, among other things, the Commission's Proposed Order Directing the Exchanges and the Financial Industry Regulatory Authority to Submit a New National Market System Plan Regarding Consolidated Equity Market Data.