

MEMORANDUM

TO: File No. 4-698

FROM: Office of the Chair

DATE: October 12, 2016

RE: Meeting with Representatives of the New York Stock Exchange (NYSE)

On August 11, 2016, Nathaniel Stankard, Christopher Hetner and David Dimitriou met with Elizabeth King and Hope Jarkowski, representatives of NYSE, to discuss their comment letter and the notice of filing of a national market system plan governing the consolidated audit trail (File No. 4-698).