

MEMORANDUM

TO: File no. 4-698

FROM: Sai Rao
Policy Counsel, Office of the Chair

RE: Meeting with Citadel Securities

DATE: September 5th, 2023

On September 5th, Sai Rao, Policy Counsel for the Chair, met with the following representatives from Citadel Securities:

- Jonah Platt, US Head of Government & Regulatory Policy
- Gregg Berman, Managing Director of the Market Analytics and Regulatory Structure
- Aaron Friedman, Deputy US Head of Government & Regulatory Policy

The participants continued to discuss current and planned cost containment measures for CAT. The participants also discussed the funding proposal.