

MEMORANDUM

TO: File no. 4-698

FROM: Sai Rao
Policy Counsel, Office of the Chair

RE: Meeting with the CAT Operating Committee

DATE: August 31st, 2023

On August 31st, Sai Rao, Policy Counsel for the Chair, met with the following representatives from CAT Operating Committee:

- Brandon Becker, Deloitte
- Soniya Shrivastav, NYSE
- Andre Owens, WilmerHale
- Daniel Martin, WilmerHale

The participants discussed the CAT NMS Plan Amendment to allocate costs.