

MEMORANDUM

TO: File No. 4-698

FROM: Division of Trading and Markets

DATE: January 11, 2023

SUBJECT: Meeting with Representatives of DASH Financial Technologies LLC

On January 11, 2023, Commission staff met with the following representatives of DASH Financial Technologies LLC: Stino Milito, Timothy Miller, Jaclyn Butler and David Dooman.

During the meeting, DASH Financial Technologies LLC discussed its comments, reflected in its comment letter dated January 3, 2023, regarding the SROs' proposed amendments to the national market system plan governing the Consolidated Audit Trail to implement a revised funding model and establish a fee schedule for Participant fees. The Commission staff representatives from the Division of Trading and Markets were David Shillman, David Hsu, Richard Holley, Mark Donohue, Sakisha Jackson, Katriana Roh and Leigh Duffy. The Commission staff representatives from the Division of Economic and Risk Analysis were Laura Tuttle and Patti Vegella.