

MEMORANDUM

TO: File No. 4-668

FROM: Neil Lombardo
Office of Commissioner Luis A. Aguilar

DATE: November 21, 2014

SUBJECT: Meeting with Representatives of the Development Advisory Group for the Consolidated Audit Trail

On November 21, 2014, Giles Cohen and Neil Lombardo, Counsels to Commissioner Aguilar, met with members of the Development Advisory Group for the Consolidated Audit Trail. The discussion included the National Market System Plan Governing the Consolidated Audit Trail.