

## **MEMORANDUM**

**To:** File

**From:** Robert Peak  
Advisor to Commissioner Kara Stein  
U.S. Securities and Exchange Commission

**Date:** March 20, 2014

**Re:** Discussion with representatives from Financial Information Forum (FIF)

On March 20, 2014, Commissioner Stein and Robert Peak, Advisor to Commissioner Kara Stein, met with representatives of the Financial Information Forum (FIF) regarding the Consolidated Audit Trail, including Maura Miller, Manisha Kimmel, Tom Jordan, Joseph Masterani, Deborah Bottle, Michael Collins, and Eric Noll.

The topic discussed was the 2014 CAT NMS Plan.