

MEMORANDUM

TO: File No. 4-657

FROM: Neil Lombardo
Office of Commissioner Luis A. Aguilar

DATE: July 15, 2014

SUBJECT: Meeting with Representatives of Citigroup

On July 15, 2014, Giles Cohen and Neil Lombardo, Counsels to Commissioner Aguilar, met with members of Citigroup, including Dan Keegan, Managing Director and Head, Equities Division, Citigroup Global Markets Inc.; Shane Swanson, CEO and President, Automated Trading Desk (ATD), A Citi Company; Mike Masone, Director and Counsel, Equities Division, Citigroup Global Markets, Inc.; Maura Solomon Woosley, Managing Director, Federal Government Affairs, Citi; and Peter D. Rich, Founding Principal, Rich Feuer Anderson. The discussion included, among other things, the Commission's Tick Size Pilot Program.