

MEMORANDUM

TO: File No. 4-631

FROM: Jennifer Colihan, Special Counsel
Division of Trading and Markets

RE: Meeting with Representatives of Participants and Members of the Advisory Committee of the Limit-Up Limit-Down Plan (LULD Plan)

DATE: March 22, 2024

On March 21, 2024, staff from the Division of Trading and Markets (TM) and the Division of Economic Risk Analysis (DERA) met with Representatives of Participants and Members of the Advisory Committee of the LULD Plan to discuss proposed Amendment No. 23 to the LULD Plan.

Attendees from TM included David Shillman, David Dimitrious, Mark Donohue, Jennifer Colihan, Ed Cho, and Amir Katz.

Attendees from DERA included Amy Edwards and Kevin Roshak.

Other attendees included Robert Books (Chicago Board Options Exchange), Matthew Healy (Chicago Board Options Exchange), Sarah Zgliniec (New York Stock Exchange), Steve Poser (New York Stock Exchange), Edward Coughlin (Nasdaq), Heinrich Lutjens (Nasdaq), Kimberly Russell (State Street), and Hubert DeJesus (BlackRock).