

## MEMORANDUM

**TO:** File No. 4-617

**FROM:** Brian P. Murphy  
Counsel to Commissioner Walter  
Office of Commissioner Walter

**DATE:** May 3, 2011

**RE:** Meeting with Representatives of the Swiss Bankers Association (“Meeting Participants”)

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On the above date, Commissioner Walter, Brian P. Murphy, and Sherman Boone met with the Meeting Participants to discuss, among other things, the above referenced file number. The Meeting Participants consisted of the following:

- Felix Jakob Dominik Schaad, Head of Financial Markets International/Vice President of the Executive Board
- Dr. Heinrich Siegmann, Head of U.S. Affairs
- Thomas J. Delaney, U.S. Counsel, Mayer Brown LLP
- Jerome Roche, U.S. Counsel, Mayer Brown LLP
- John R. Mirvish, U.S. Counsel, Mayer Brown LLP