

## MEMORANDUM

TO: File No. 4-610

FROM: Cyndi Rodriguez, Office of Commissioner Elisse B. Walter

DATE: November 15, 2011

RE: Meeting with Representatives of NYSE Euronext (“NYSE”)

On July 26, 2011, the following representatives of NYSE:

- Joseph (Joe) Mecane;
- Brendon Weiss;
- Kevin Malloy (participating by phone); and
- Sudhir Bhattacharyya (participating by phone)

met with Commissioner Elisse B. Walter, Cyndi Rodriguez, and Lesli Sheppard from the Office of Commissioner Walter and Alicia F. Goldin from the Division of Trading and Markets to discuss issues related to the municipal securities market, including among others, secondary market trading and transparency issues.