

MEMORANDUM

TO: File No. 4-610

FROM: Alicia F. Goldin
Office of Commissioner Elisse B. Walter

DATE: September 27, 2010

On September 14, 2010, Commissioner Elisse B. Walter, and her counsel, Alicia F. Goldin, met with Lisa Lindsley, Director, Capital Strategies, AFSCME, and Heather Slavkin, Senior Legal and Policy Advisor, Office of Investment, AFL-CIO. The participants discussed generally matters related to the municipal securities market, including those specified in the attached agenda, which was provided in advance of the meeting.

Agenda for meeting with
Commissioner Walter

September 14, 2010

- Reforms of Rule 15c2-12
- Title IX, Section H of the Wall Street Reform and Consumer Protection Act