

## MEMORANDUM

TO: File No. 4-610

FROM: Alicia F. Goldin  
Division of Trading and Markets

DATE: June 21, 2011

RE: Conference Call with Representatives of the National Association of State Auditors, Comptrollers and Treasurers (NASACT)

On May 25, 2011, the following representatives of NASACT:

- Nancy K. Kopp, State Treasurer, Maryland; NASACT President;
- David Lillard, Jr., State Treasurer, Tennessee; NASACT Executive Committee Member;
- D. Clark Partridge, State Comptroller, Arizona; NASACT Executive Committee Member; Government Accounting Standards and Market Oversight Committee (GASMO) Chair; Financial Management and Intergovernmental Affairs Committee (FMIAC) Chair;
- Richard K. Ellis, State Treasurer, Utah; NASACT Executive Committee Member; GASMO Vice-Chair;
- Kim R. Wallin, State Controller, Nevada; FMIAC Vice- Chair;
- Martin J. Benison, Comptroller, Massachusetts; NASACT Second Vice President;
- R. Kinney Poynter, NASACT Executive Director;
- Cornelia Chebinou, NASACT Washington Director;
- Neal Hutchko, NASACT Policy Analyst;
- Glenda Johnson, NASACT Communications Manager;
- Sherri Rowland, National State Auditors Association Director;
- Kim O’Ryan, National Association of State Comptrollers Director;

along with the following staff from the office of Treasurer Lillard:

- Janice Cunningham, Chief of Staff;
- Josh Stites, Staff Assistant to the Treasurer;
- Jill Bachus, Director of Tennessee Consolidated Retirement System;
- Rick Dubray, Assistant Treasurer of Support Services;
- Kim Morrow, Director of Accounting; and
- Justin C. Thacker, F.S.A., Consulting Actuary / Principal, BPS&M, LLC, A Wells Fargo Company

participated in a conference call with Commissioner Elisse B. Walter; Cyndi Rodriguez and Lesli Sheppard from the Office of Commissioner Walter; Martha Haines, Mary Simpkins, John McWilliams, David Sanchez and Alicia Goldin from the Division of Trading and Markets; Amy Starr and William Hines from the Division of Corporation Finance; and Mark Zehner from the Division of Enforcement.

The participants discussed, among other things, municipal securities issues relating to accounting, disclosure, internal controls, and investor education.