

From: Carroll, Kevin
Sent: Tuesday, September 17, 2013 9:04 AM
To: [REDACTED]
Cc: Hammerman, Ira
Subject: SIFMA resources re: D-F Section 913

Ty, good morning. Ira mentioned his recent conversation with you about 913. I've been a lead advocate on this issue for SIFMA since before enacted of the Dodd Frank Act. We'd like the opportunity to discuss this issue, and others, with you in greater detail in the coming months. Perhaps you could suggest some dates and times in Oct – Nov that would be convenient for Ira and I to meet with you?

In the meantime, below I've assembled hyperlinks to some of SIFMA's key advocacy pieces on the 913 topic. The top entry (July 5th) provides a good historical summary of SIFMA's work and position on this important issue. I look forward to meeting you in person. Many thanks, Kevin

- [Jul. 5, 2013](#) – SIFMA response to SEC request for cost-benefit data re: D-F Section 913, available [here](#).
- [May 4, 2012](#) – SIFMA framework for rulemaking, supplemental comment, available [here](#).
- [Jul. 14, 2011](#) – SIFMA framework for rulemaking under D-F Section 913, available [here](#).
- [Nov. 17, 2010](#) – SIFMA/Oliver Wyman economic study, supplemental comment, available [here](#).
- [Nov. 1, 2010](#) – SIFMA/Oliver Wyman economic study to quantify costs and expenses under various approaches to harmonization, available [here](#).
- [Aug. 30, 2010](#) – initial SIFMA comment re: D-F Section 913 study, available [here](#).

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