

MEMORANDUM

To: File

From: Jennifer B. McHugh  
Senior Advisor to the Chairman

Date: September 6, 2013

Re: Meeting with Representatives from Fidelity

On September 3, 2013, Mary Jo White, Chair; Norm Champ, Director, Division of Investment Management; Diane Blizzard, Associate Director, Division of Investment Management; and Jennifer McHugh, Senior Advisor to the Chair, met with the following representatives of Fidelity Investments: Abigail Johnson, President of Financial Services; Jonathan Chiel, Executive Vice President and General Counsel; and James Johnson, Executive Vice President, Public Affairs and Policy.

Among other issues, the Fidelity representatives discussed the Commission's request for information on broker-dealers and investment advisers; the Commission's proposal on money market fund reform; and the Commission's focus on market structure issues.