

MEMORANDUM

TO: File No. 4-606

FROM: Daniel Fisher
Division of Trading and Markets

DATE: October 25, 2012

RE: Meeting Relating to Section 913 of the Dodd-Frank Wall Street Reform Act of 2010 (the "Act")

On October 25, 2012, Jim Burns, David Blass, Emily Russell, and Dan Fisher of the Division of Trading and Markets; Doug Scheidt of the Division of Investment Management; Matt Kozora of the Division of Risk, Strategy, and Financial Innovation; and Bob Bagnall of the Office of General Counsel met with David Culley, Lawrence J. Rybka, Kenneth Ehinger, Christie Corado, David Stertzer, Chris Morton, and Anthony Raglani, representing the Association for Advanced Life Underwriting ("AALU"); and AALU's counsel, Marti Cochran and David Freeman of Arnold and Porter, to discuss issues relating to Section 913 of the Act and the Study issued thereunder.