

MEMORANDUM

TO: File No. 4-606

FROM: Michael E. Coe
Office of Commissioner Luis A. Aguilar

DATE: October 28, 2010

SUBJECT: Meeting with Representatives of the Bond Dealers of America

On October 27, 2010, Smeeta Ramarathnam, Chief of Staff to Commissioner Aguilar, and Michael E. Coe, Counsel to Commissioner Aguilar, met with Bond Dealers of America representatives Mike Nicholas, Chief Executive Officer, and William Daly, Senior Vice-President, Government Relations. The discussion included, among other things, the topics discussed in the August 30, 2010 comment letter submitted by the Bond Dealers of America.