

**Statement of Comments for Securities and Exchange Commission's  
Dodd-Frank Investor Advisory Committee  
File No. 265-28.**

Submitted Online

January 17, 2013

Mr. Joseph Dear  
Committee Chairman  
SEC Dodd-Frank Investor Advisory Committee  
100 F Street NE  
Washington, DC 20549

Subject: Comments for SEC Dodd-Frank Investor Advisory Committee January 18, 2013 Meeting - File No. 265-28.

Sir:

The Center for Diversity in Finance and Industry, LLC (CDFI) files this comment in response to the Securities and Exchange Commission's (SEC) request for comments regarding its Investor Advisory Committee, established pursuant to Section 911 of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010.

## **Background on CDFI**

CDFI offers guidance on how to recruit, educate, communicate with and retain a diverse staff while satisfying requirements set forth in Section 342 of the Dodd-Frank law by providing a series of Diversity Policy Guides for banks, brokerages, investment banks and other financial firms, including contractors, covered under Section 342 of Dodd-Frank.

## **Comments**

1. CDFI encourages the SEC's Dodd-Frank Investor Advisory Committee to more actively and openly discuss the SEC's future plans to educate, monitor, and otherwise enforce Section 342 of Dodd-Frank and to define the role of the SEC's Office of Minority and Women Inclusion ("OMWI") in this regard.

2. CDFI also encourages the SEC's OMWI to be more visible with the public and its constituents in its commitment, education, enforcement effort, and overall promotion of diversity.

3. CDFI urges the SEC to allocate the necessary resources necessary to facilitate the efforts mentioned above.

4. CDFI further urges the SEC to consider the mandates of Section 342 as criteria for the award or non-award of SEC contracts.

Sincerely,



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