

MEMORANDUM

To: File No. 10-242

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Conference Call with Representatives of SIFMA

On November 12, 2024, Commissioner Hester Peirce and her legal advisor, Richard Gabbert, participated in a conference call with the following individuals representing SIFMA:

- Ken Bentsen, SIFMA;
- Joe Corcoran, SIFMA;
- Charles DeSimone; SIFMA
- Ellen Greene; SIFMA
- Gerald O'Hara, SIFMA
- Joe Seidel, SIFMA;
- Gregg Berman, Citadel;
- Christopher Gwozdz, Fidelity;
- Debbie Toennies, JP Morgan;
- Sapna Patel, Morgan Stanley;
- Christopher Larkin, Morgan Stanley;
- Debra Guarino, Pershing;
- Matt Billings, Robinhood; and
- Matthew Levine, Virtu.

Participants discussed issues relating to 24X's Form 1 and overnight trading in equity securities.