

MEMORANDUM

TO: File No. 10-222

FROM: Division of Trading and Markets

DATE: January 7, 2016

RE: Meeting with representatives from Citadel

On Thursday, January 7, 2016, Stephen Luparello, Gary Goldsholle, David Shillman, and Daniel Gray, all from the Division of Trading and Markets' Office of Market Supervision, met with Adam Cooper and Stephen Berger from Citadel and Jamil Nazarali and John Nagel from Citadel Securities. Among the topics discussed was the Form 1 exchange application of Investors' Exchange LLC (IEX), where Citadel discussed its concerns as reflected in the three public comment letters it submitted to date on IEX's Form 1 application.