

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6803 / December 10, 2020

Administrative Proceeding
File No. 3-17595

In the Matter of

**Och-Ziff Capital Management
Group LLC,
OZ Management LP,
Daniel S. Och, and
Joel M. Frank**

**Order Scheduling
Prehearing Conference**

The Commission issued an order instituting proceedings (OIP) on September 29, 2016, but directed that the hearing not begin until “the entry of a final judgment against the last remaining defendant(s) in all actions related to the conduct described herein.” OIP at 45. According to the parties’ most recent joint status report, the last remaining defendant in the related actions was OZ Africa Management GP, LLC, in *United States v. OZ Africa Management GP, LLC*. The parties also stated that they would advise me when the final judgment was entered.

The docket of that case shows that the court entered final judgment on November 12, 2020. Judgment in a Criminal Case, *United States v. OZ Africa Mgmt. GP, LLC*, No. 16-cr-515 (E.D.N.Y. Nov. 12, 2020), ECF No. 111. The OIP directed me to issue the initial decision no later than 120 days from the date of the last final judgment, which would be March 12, 2021. Because of this accelerated schedule and delay in learning about the final judgment, I will hold a telephonic prehearing conference on December 17, 2020, at 2:00 p.m. EST. The Division of Enforcement should arrange for a court reporter and circulate dial-in instructions to all participants. In advance of the conference, the parties should confer and file a proposed schedule for resolving this proceeding by the deadline.

James E. Grimes
Administrative Law Judge