

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6611 / June 17, 2019

Administrative Proceeding
File No. 3-17716

In the Matter of

**Robert L. Baker,
Jacob B. Herrera,
Michael D. Bowen, and
Terrence A. Ballard**

Order Granting Extension

After being served with the order instituting this proceeding in early 2017, Respondent Terrence A. Ballard has failed to participate. On May 30, 2019, I stayed the proceeding because of the possible settlement of the other respondents, and I directed the Division of Enforcement to file a motion for default and sanctions with evidence sufficient to establish the violations and justify the requested sanctions against Ballard by June 21, 2019. *Baker*, Admin. Proc. Rulings Release No. 6589, 2019 SEC LEXIS 1257, at *3.

On June 14, 2019, the Division requested an extension until July 11, 2019, to file the motion, citing its continued work on a settlement with the other three Respondents, unavailability of counsel, and the need to assess the evidence against Ballard that has not been relevant to the proceeding for well over two years.

The Office of the Secretary has reported that mailings to Ballard are being returned to sender, so it appears that Ballard has moved and that forwarding has expired. Out of an abundance of caution, I request that the Division attempt to determine Ballard's current address and if successful, direct a copy of the default motion to that address. If unsuccessful, the Division can describe its efforts in its motion for default.

For good cause shown, I agree with the Division's request for additional time and because this is an unusual default situation, I extend the due date for the motion to July 25, 2019. 17 C.F.R. § 201.161(a).

Brenda P. Murray
Chief Administrative Law Judge