

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 6609 / June 14, 2019

Administrative Proceeding  
File No. 3-16509

In the Matter of

**Edward M. Daspin, a/k/a  
“Edward (Ed) Michael”,  
Luigi Agostini, and  
Lawrence R. Lux**

**Order Denying  
Motion for Relief**

On June 12, 2019, Respondent Edward M. Daspin submitted a motion for various forms of relief.

Daspin requests a free copy of the hearing transcripts, but I can provide him with a copy only if he first establishes that he is indigent. *See Daspin*, Admin. Proc. Rulings Release No. 6382, 2018 SEC LEXIS 3385, at \*2 (ALJ Nov. 30, 2018). He may renew his request after filing a complete Form D-A. *See* 17 C.F.R. § 201.630(b). Form D-A is found on pages 32,826 through 32,834 of volume 60 of the Federal Register. Rules of Practice, 60 Fed. Reg. 32,738, 32,826-34 (June 23, 1995), *available at* <https://www.govinfo.gov/content/pkg/FR-1995-06-23/pdf/95-14750.pdf#page=89>.

In addition, Daspin asked me to direct the Division of Enforcement to treat him as a whistleblower and begin investigating alleged misconduct. I am not authorized to initiate Division investigations, but Daspin may contact the Office of the Whistleblower (<https://www.sec.gov/whistleblower>).

Daspin also asks for help assembling his exhibits. The problem is that at the hearing Daspin only had one copy of the material he requested be marked as an exhibit and he retained that copy. Daspin is responsible for providing copies of his exhibits but I am hopeful that working together we can finalize the exhibit list and provide copies of all exhibits to the Office of the Secretary. *See Daspin*, Admin. Proc. Rulings Release No. 6582, 2019 SEC LEXIS 1182, at \*1 (ALJ May 20, 2019).

Finally, Daspin renews his request to dismiss the proceeding. The Division has made a prima facie case and I will decide the case based on the evidentiary record. The parties' opening post-hearing briefs are due on July 3, 2019. *See id.* at \*2.

For these reasons, I DENY the motion.

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Brenda P. Murray  
Chief Administrative Law Judge