

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 6526 / March 28, 2019

Administrative Proceeding  
File No. 3-18061

In the Matter of

**Retirement Surety LLC,  
Crescendo Financial LLC,  
Thomas Rose,  
David Leeman, and  
David Featherstone**

**Protective Order**

Respondents filed an unopposed motion for a protective order and for sealing of the Forms D-A and supporting documentation they submitted as part of their Appendix of Financial Affidavits and Supporting Documentation. Because the supporting documents contain sensitive personal financial and health information, I conclude that the benefits of disclosure are outweighed by the harm that would result from disclosure and will grant the motion. 17 C.F.R. §§ 201.322, .630(c).

I ORDER that Respondents' appendix (from page 1 to page 1425<sup>1</sup>) be filed and maintained under seal. These documents shall not be disclosed to the public or any parties other than the Division of Enforcement. Pages 1426 to 1504 of the appendix do not contain sensitive personal financial or health

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<sup>1</sup> Respondents' motion stated that the appendix contained "sensitive financial and health information concerning Respondents on pages App. 0001–App. 1426." But, upon review, page 1426 does not contain sensitive information, and for that reason I have not ordered it to be filed under seal.

information. Respondents shall file these pages as a separate, unsealed appendix.

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James E. Grimes  
Administrative Law Judge