

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6160 / October 10, 2018

Administrative Proceeding
File No. 3-18454

In the Matter of

**Christopher M. Lee, a/k/a Rashid
K. Khalfani**

**Order Scheduling
Prehearing Conference**

Following reassignment of this case, I directed the parties to confer and submit joint or separate proposals by October 9, 2018, for the conduct of further proceedings in this matter. *Christopher M. Lee*, Admin. Proc. Rulings Release No. 6003, 2018 SEC LEXIS 2383, at *1 (ALJ Sept. 18, 2018). The parties' proposals were supposed to include their availability for a telephonic prehearing conference. *Id.* The Division of Enforcement filed a timely response, noting its service of certain orders on Respondent Christopher M. Lee and its attempt to discuss Lee's availability for a prehearing conference. It also proposed to hold a telephonic prehearing conference on October 17, 2018. Although the Division's report indicates that it has been in communication with him, Lee did not file a proposal or otherwise respond to the order I issued.

Based on evidence submitted by the Division, both previously and in its recent filing, I find that Lee was served with the order instituting proceedings (OIP) on May 14, 2018, and again on September 20, 2018. Lee shall file an answer to the OIP by October 22, 2018. Alternatively, Lee may file notice that he intends to rely on the response he filed to the June 7, 2018 order to show cause issued by the administrative law judge previously assigned to this case.

A telephonic prehearing conference will be held at 12:30 p.m. EDT, on October 17, 2018.¹ The Division of Enforcement shall provide dial-in instructions to all parties in advance of the conference.

James E. Grimes
Administrative Law Judge

¹ Because Lee did not submit a proposal, I have adopted the Division's conference proposal.