

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6151 / October 9, 2018

Administrative Proceeding
File No. 3-17848

In the Matter of
James P. Griffin

Stay Order

The Division of Enforcement has submitted a joint motion to stay this proceeding based upon the parties' agreement in principle to a settlement on all major terms.¹ To permit Respondent to submit a signed settlement offer and the Securities and Exchange Commission to consider the offer, the joint motion is GRANTED, and the proceeding is STAYED, subject to compliance with Rule of Practice 161(c)(2), 17 C.F.R. § 201.161(c)(2), requiring that this office be notified promptly if any of the requirements of the rule are not met.

Cameron Elliot
Administrative Law Judge

¹ Respondent was unable to sign the motion before it was filed because he is incarcerated but indicated his agreement to the motion in writing.