

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 6122 / September 28, 2018

ADMINISTRATIVE PROCEEDING  
File No. 3-18209

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In the Matter of

HUI FENG and :  
LAW OFFICES OF FENG & ASSOCIATES, P.C. : ORDER

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The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP), pursuant to Section 15(b) of the Securities Exchange Act of 1934 on September 25, 2017. The proceeding is a follow-on proceeding based on *SEC v. Hui Feng*, No. 2:15-cv-9420 (C.D. Cal.), in which Respondents were enjoined from violating the antifraud provisions of the federal securities laws.

Hui Feng was served with the OIP on November 3, 2017, by “leaving a copy at [his] office with a clerk or other person in charge” and the second Respondent, Law Offices of Feng & Associates, P.C., was served with the OIP on October 2, 2017, by sending it a copy by U.S. Postal Service certified mail “and obtaining a confirmation of receipt.” 17 C.F.R. § 201.141(2)(i), (ii). On March 12, 2018, an Initial Decision imposed associational bars on Respondents. *Hui Feng*, Initial Decision Release No. 1242, 2018 WL 1257573 (A.L.J.).

Thereafter, the proceeding was stayed: On June 21, 2018, “[i]n light of the Supreme Court’s decision in *Lucia v. SEC*,” 138 S. Ct. 2044 (2018), the Commission stayed all pending administrative proceedings, including this one; the stay was operative through August 22, 2018. *Pending Admin. Proc.*, Securities Act of 1933 Release Nos. 10510, 2018 WL 3193858; 10522, 2018 WL 3494802 (July 20, 2018). On August 22, 2018, the Commission ended the stay and ordered a new hearing in each affected proceeding before an administrative law judge who had not previously participated in the proceeding, unless the parties expressly agreed to alternative procedures, including agreeing that the proceeding remain with the previous presiding administrative law judge. *Pending Admin. Proc.*, Securities Act Release No. 10536, 2018 WL 4003609, at \*1 (August 22 Order). Accordingly, the proceeding was reassigned to the undersigned. *Pending Admin. Proc.*, Admin. Proc. Rulings Release No. 5955 (C.A.L.J. Sept. 12, 2018).

In view of the reassignment of the proceeding, Respondents will be afforded an opportunity to file Answers, which will be due by October 19, 2018. A Respondent that does not file an Answer will be deemed to be in default, and the undersigned will impose associational

bars. A Respondent that files an Answer and the Division should submit proposals for the conduct of further proceedings by December 14, 2018. The proposal may include resolving the proceeding by motion[s] for summary disposition pursuant to 17 C.F.R. § 201.250(b). A Respondent that files an Answer but fails to submit a proposal (or to participate in a joint proposal) will be deemed to be in default, and the undersigned will enter an order imposing an associational bar. *See* August 22 Order, 2018 WL 4003609, at \*1.

IT IS SO ORDERED.

/S/ Carol Fox Foelak  
Carol Fox Foelak  
Administrative Law Judge