

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6038 / September 20, 2018

Administrative Proceeding
File Nos. 3-17907

In the Matter of
Anthony C. Zufelt

Order Following Reassignment

The Securities and Exchange Commission issued an order instituting proceedings against Anthony C. Zufelt on April 7, 2017. An administrative law judge issued an initial decision on March 1, 2018; the Commission issued a finality order on May 17, 2018. *Anthony C. Zufelt*, Initial Decision Release No. 1239, 2018 SEC LEXIS 641, *notice of finality*, Securities Exchange Act of 1934 Release No. 83277, 2018 SEC LEXIS 1164. The proceeding was assigned to me following the Commission's August 22, 2018, order, *Pending Admin. Proc.*, Securities Act of 1933 Release No. 10536, 2018 SEC LEXIS 2058, at *2-3, which vacated the finality order. *See Pending Admin. Proc.*, Admin. Proc. Rulings Release No. 5955, 2018 SEC LEXIS 2264 (ALJ Sept. 12, 2018).

I direct the parties to submit proposals for the conduct of further proceedings by October 10, 2018, and to address the items referenced in 17 C.F.R. § 201.221(c). If the Division of Enforcement is unable to contact Zufelt, it should submit a notice to that effect by October 10. If Zufelt fails to participate by not submitting a proposal, there is a possibility of action against him pursuant to Rules of Practice 155 or 180. 17 C.F.R. §§ 201.155, .180; *see Pending Admin. Proc.*, Securities Act Release No. 10536, 2018 SEC LEXIS 2058, at *4.

Brenda P. Murray
Chief Administrative Law Judge